

Lecture 5: Open and closed sets in metric spaces.

(I)

Def: Let (X, d) be a metric space. A set $V \subset X$ is open if for any $x \in V \exists \varepsilon > 0$ such that

$$B_\varepsilon(x) \subset V.$$

2) A point $x_0 \in X$ is a limit point of V if \exists a sequence $x_n \in V$ such that

$$x_n \rightarrow x_0 \text{ as } n \rightarrow \infty$$

A closure \overline{V} of the set $V \subset X$ is a set of all limit points of V . A set V is closed if V contains all its limit points:

$$V = \overline{V}$$

A set ~~int~~ point $x_0 \in V$ is an interior point of V if $\exists \varepsilon > 0$ such that

$$B_\varepsilon(x_0) \subset V$$

A set of all interior points of V is denoted by $\text{int } V$.

A set $\partial V := \overline{V} \setminus \text{int } V$ is a boundary of the set V .

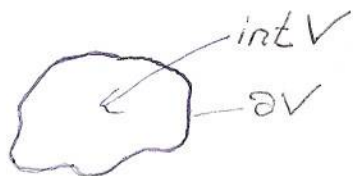
Proposition: 1) for any set V , \overline{V} is closed and $\text{int } V$ is open

2) the boundary ∂V consists of all points $x_0 \in X$ such that $\exists \varepsilon > 0$ and $\exists x \in V$ and $\exists x \in X \setminus V$ such that

$$B_\varepsilon(x_0) \cap V \neq \emptyset \quad B_\varepsilon(x_0) \cap (X \setminus V) \neq \emptyset$$

please, check!

Example:



Proposition: Let (X, d) be a metric space. Then

1) A ball $B_\varepsilon(x_0)$ is an open set, for any $\varepsilon > 0$ and $x_0 \in X$

2) A closed ball $\overline{B}_\varepsilon(x_0) := \{x \in X, d(x_0, x) \leq \varepsilon\}$ is a closed set

3) A sphere $S_\varepsilon(x_0) := \{x \in X, d(x_0, x) = \varepsilon\}$ is a boundary

of $B_\varepsilon(x_0)$

$$S_\varepsilon(x_0) = \partial B_\varepsilon(x_0) = \partial \overline{B}_\varepsilon(x_0)$$

Proof: 1) Let $y \in B_\varepsilon(x_0) \Rightarrow d(x_0, y) = \varepsilon_0 < \varepsilon$

Consider $B_{\varepsilon - \varepsilon_0}(y)$. By the triangle inequality

$$d(z, x_0) \leq d(y, x_0) + d(z, y) < \varepsilon_0 + \varepsilon - \varepsilon_0 = \varepsilon, \forall z \in B_{\varepsilon - \varepsilon_0}(y)$$

$\Rightarrow B_{\varepsilon - \varepsilon_0}(y) \subset B_\varepsilon(x_0) \Rightarrow B_\varepsilon(x_0)$ is open.

2) Let y_0 be a limit point of $\bar{B}_\varepsilon(x_0)$. Then $\exists \{x_n\}$

$d(x_n, x_0) \leq \varepsilon$ and $x_n \rightarrow y_0$. We need to prove

that $d(y_0, x_0) \leq \varepsilon$. Sufficient to check that the function $x \mapsto d(x, x_0)$ is continuous.

By the triangle inequality

$$\begin{aligned} d(x_0, x) &\leq d(x_0, y) + d(x, y) \\ d(x_0, y) &\leq d(x_0, x) + d(x, y) \end{aligned} \quad \Rightarrow \quad |d(x_0, x) - d(x_0, y)| \leq d(x, y)$$

$\Rightarrow d$ is continuous!

3) is evident

Ex: Please, check that

$$|d(x, y) - d(v, w)| \leq d(x, v) + d(y, w)$$

and, therefore, $d(x, y)$ is continuous with respect to both arguments.

Properties of open sets:

Topology on X
= class of open sets satisfying 1) 2) 3).

- 1) X and \emptyset are open sets
- 2) a union of any number of open sets is open

X_α - are open for $\alpha \in A$, then

$$\bigcup_{\alpha \in A} X_\alpha \text{ - is open}$$

- 3) Intersection of any finite number of open sets is open

$X_i, i=1, \dots, n$ are open

$$\Rightarrow \bigcap_{i=1}^n X_i \text{ - is open}$$

Example:

$X = \mathbb{R}$ $X_n = (-\frac{1}{n}, \frac{1}{n})$ are open sets, but $\bigcap_{n=1}^{\infty} X_n = \{0\}$ is not open!

"finite number" is essential in 3).

Lecture 6: Open and closed sets. Part II

(11)

Lemma Let (X, d) be a metric space. Then a set $V \subset X$ is open iff the complement $X \setminus V$ is closed.

Proof: Let V be open. We need to check that $X \setminus V$ is closed. Let $x_n \in X \setminus V$ be a sequence converging to some $x_0 \in X$. We need to prove that $x_0 \in X \setminus V$.

By contradiction, assume that $x_0 \notin X \setminus V$

$\Rightarrow x_0 \in V$, but V is open $\Rightarrow \exists \varepsilon > 0, B_\varepsilon(x_0) \in V$

$x_n \rightarrow x_0 \Rightarrow x_n \in B_\varepsilon(x_0), n \geq N \Rightarrow x_n \in V, n \geq N \Rightarrow$

$x_n \notin X \setminus V$ - contradiction.

Let now $X \setminus V$ be closed. Need to prove V is open. Again, by contradiction. Assume that V is not open

$\Rightarrow \exists x_0 \in V$ such that $\forall \varepsilon > 0 \exists x_\varepsilon \notin V$ but $x_\varepsilon \in B_\varepsilon(x_0)$

Take $\varepsilon = \frac{1}{n}$. Then $x_{1/n} \in X \setminus V$ and $x_{1/n} \rightarrow x_0 \in V (\notin X \setminus V)$

$\Rightarrow X \setminus V$ is not closed. Contradiction. \square

Properties of closed sets:

I) X and \emptyset are closed

II) An intersection of any number of closed sets is closed:

$X_\alpha \subset X$ are closed, $\alpha \in A \Rightarrow \bigcap_{\alpha \in A} X_\alpha$ is closed

III) A union of a FINITE number of closed sets is closed

$X_i \subset X, i=1, \dots, n$ are closed $\Rightarrow \bigcup_{i=1}^n X_i$ is closed

Please check!

Hint: You may reduce that to the case of open sets using the lemma and De Morgan's laws:

$$X \setminus \left(\bigcup_{\alpha} X_{\alpha} \right) = \bigcap_{\alpha} (X \setminus X_{\alpha})$$

$$X \setminus \left(\bigcap_{\alpha} X_{\alpha} \right) = \bigcup_{\alpha} (X \setminus X_{\alpha})$$

Convergence and continuity via open sets.

IV

Aim: to reformulate the definitions in terms of open sets instead of metrics.

Def 1 (metric) $X_n \rightarrow X_0$
iff $\forall \varepsilon > 0 \exists N = N(\varepsilon)$
such that

$$X_n \in B_\varepsilon(X_0), n \geq N$$

open set

Def 2 (topologic) $X_n \rightarrow X_0$
iff \forall open set $V \subset X, X_0 \in V$
 $\exists N = N(V)$ such that

$$X_n \in V, n \geq N$$

Def: Let $X_0 \in X, V$ is an (open) neighborhood of X_0 if $X_0 \in V$ and V is open.

Lemma: In any METRIC space (X, d)

Def. 1 \Leftrightarrow **Def. 2**

Proof: Since $B_\varepsilon(X_0)$ is open, **Def. 2** \Rightarrow **Def. 1**

Let us prove that **Def. 1** \Rightarrow **Def. 2**

Let $X_n \rightarrow X_0$ in the sense of **Def. 1** and let V be an arbitrary neighborhood of X_0 . V is open + $X_0 \in V$

$\Rightarrow \exists \varepsilon > 0$ such that $B_\varepsilon(X_0) \subset V$ from **Def. 1**

$\Rightarrow \exists N = N(\varepsilon)$ such that

$$X_n \in B_\varepsilon(X_0) \subset V, n \geq N$$

\Rightarrow **Def. 2** holds \square

Continuity:

Def. 1 (metric) $f: X \rightarrow Y$
is continuous at $X_0 \in X$
iff $\forall \varepsilon > 0 \exists \delta = \delta(\varepsilon) > 0$
such that

$$f(B_\delta(X_0)) \subset B_\varepsilon(f(X_0))$$

Def. 2 (topologic): $f: X \rightarrow Y$
is continuous at $X_0 \in X$ iff
 \forall neighborhood $V \subset Y$ of $f(X_0)$
 \exists neighborhood $W \subset X$ of X_0
such that

$$f(W) \subset V$$

Lemma: In any metric space

Def. 1 \Leftrightarrow **Def. 2**

Proof:

(V)

Def. 1 \Rightarrow Def. 2

Let f be continuous at $x_0 \in X$ in the sense of Def. 1 and let $V \subset Y$ be a neighborhood of $f(x_0) \Rightarrow \exists \epsilon > 0$ such that

$$B_\epsilon(f(x_0)) \subset V$$

Def. 1 $\Rightarrow \exists \delta > 0$

$$f(B_\delta(x_0)) \subset B_\epsilon(f(x_0)) \subset V$$

\Rightarrow Def. 2 holds with $W = B_\delta(x_0)$

Def. 2 \Rightarrow Def. 1

Let f be continuous in the sense of

Def. 2. Take $V = B_\epsilon(f(x_0))$ - neighborhood of $f(x_0)$

$\Rightarrow \exists W \subset X$ - neighborhood of x_0 such that

$$f(W) \subset B_\epsilon(f(x_0))$$

W is open $\Rightarrow \exists \delta > 0$

$$B_\delta(x_0) \subset W$$

$\Rightarrow f(B_\delta(x_0)) \subset f(W) \subset B_\epsilon(f(x_0)) \Rightarrow$ Def. 1 holds \square

$f: X \rightarrow Y$ is continuous on X ($f \in C(X, Y)$) = f is continuous at every $x_0 \in X$.

Theorem: $f \in C(X, Y) \Leftrightarrow$ the inverse image of any open set is open:

$$V \subset Y \text{ is open} \Rightarrow f^{-1}(V) \subset X \text{ is open}$$

Proof: \Rightarrow let f be continuous and V be open. Need to check that $f^{-1}(V)$ is open. Let $x_0 \in f^{-1}(V) \Rightarrow f(x_0) \in V$ + V is open

$\Rightarrow \exists \epsilon > 0$ such that $B_\epsilon(f(x_0)) \subset V$ + continuity \Rightarrow

$$\Rightarrow \exists \delta > 0 \quad f(B_\delta(x_0)) \subset B_\epsilon(f(x_0)) \subset V \Rightarrow B_\delta(x_0) \subset f^{-1}(V)$$

\Leftarrow Let $f^{-1}(V)$ be open for any open V . Need to prove that f is continuous at every $x_0 \in X$. Use Def. 2 of continuity

For any neighborhood $V \subset Y$ of $f(x_0)$ $W = f^{-1}(V)$ is

open and $x_0 \in W \Rightarrow W \subset X$ is a neighborhood of x_0 .

But

$$f(W) = f(f^{-1}(V)) \subset V \Rightarrow f \text{ is continuous at } x_0 \in X.$$

Why we cannot write " $=$ " here?